

Combining λ -calculus and rewriting: brief history and open problems

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Problem

confluence (CR) and strong normalization (SN) of $\beta \cup \mathcal{R}_1 \cup \mathcal{R}_\omega$?

\mathcal{R}_1 : usual first-order rewriting (algebraic LHS/RHS, $\mathcal{R}_1 \cap \mathcal{F}_\omega = \emptyset$)

\mathcal{R}_ω : higher-order rewriting, 2 cases:

- LHS's are algebraic: syntactic/first-order matching is sufficient
- LHS's are higher-order patterns (Klop, 1980; Miller, 1989)

Remark: $\beta \cup \mathcal{R}$ SN and \mathcal{R} locally CR $\Rightarrow \beta \cup \mathcal{R}$ CR (Newman's Lemma)

Three research directions

- $\beta \in \mathcal{R}_1$: β is encoded by first-order rewrite rules
- $\beta \in \mathcal{R}_\omega$: β isn't distinguished from the other higher-order rewrite rules
- $\beta \notin \mathcal{R}$: β receives a particular treatment

mark: I will not speak about pattern-calculi... :-([Julien?])

$$\beta \subseteq \mathcal{R}_1$$

- 1972: de Bruijn: first-order representation of λ -terms
- 1991: Abadi, Cardelli, Curien and Lévy: first-order representation of β
- ...: many works!
- 2000: Bonelli, Kesner and Ríos: first-order representation of higher-order rewrite rules ($\mathcal{R}_\omega \subseteq \mathcal{R}_1$)

$$\beta \in \mathcal{R}_\omega$$

mark: these results apply on the other cases too !

- 1980: Klop: Combinatory Reduction Systems (CRS)
 - (left-linear and no critical pair) orthogonal \Rightarrow CR
 - $\exists \mathcal{R}_1$ CR and non left-linear s.t. $\beta \cup \mathcal{R}_1$ not CR (since β not SN)
- 1990: Khasidashvili: Expression Reduction Systems (ERS)
a variant/extension of CRS ($\perp \Rightarrow$ CR)
- 1991: Nipkow: Higher-order Rewrite Systems (HRS)
rewriting modulo $\beta\eta$ in λ^\rightarrow -calculus ($\perp \Rightarrow$ CR, Critical Pair Lemma)
- 1993: Van de Pol: termination of HRS's by (higher-order) interpretations

- 1994: v.Oostrom and v.Raamsdonk: Higher-Order Rewriting Systems (HORS)
rewriting = replacement/grafting modulo a substitution calculus \mathcal{SC} ($\perp \Rightarrow \text{CR}$)
examples: TRS, CRS, HRS, ERS ($\mathcal{SC} = \beta\bar{\eta}$)
- 1995: Van Oostrom: left-linear with development closed critical pairs $\Rightarrow \text{CR}$
- 1999: Van Oostrom: (left-linear and trivial critical pairs) weakly orthogonal $\Rightarrow \text{WN}$ (outermost-fair strategies)
- 2000: Blanqui: \mathcal{R}_1 SN and non-duplicating and \mathcal{R}_ω structurally decreasing
simply-typed HRS/CRS $\Rightarrow \mathcal{R}_1 \cup \mathcal{R}_\omega$ SN

non-duplicating: no variable occurs more in the RHS than in the LHS

structurally decreasing: in RHS, recursive calls are done on arguments structurally smaller than the arguments of the LHS

$\beta \notin \mathcal{R}$ when typing doesn't depend on rewriting

- 1988: Breazu-Tannen: $\mathcal{R}_1 \text{ CR} \Rightarrow \lambda^{\rightarrow} \cup \mathcal{R}_1 \text{ CR}$
- 1989: Breazu-Tannen, Gallier and Okada: $\mathcal{R}_1 \text{ SN} \Rightarrow \lambda^{\rightarrow} \cup \mathcal{R}_1 \text{ SN}$
- 1990: Barbanera: $\mathcal{R}_1 \text{ SN} \Rightarrow \text{CC} \cup \mathcal{R}_1 \text{ SN}$
- 1991: Dougherty: $\beta \text{ SN}$ and $\mathcal{R}_1 \text{ CR/SN} \Rightarrow \beta \cup \mathcal{R}_1 \text{ CR/SN}$
- 1992: Müller: $\mathcal{R}_1 \text{ CR}$ and left-linear $\Rightarrow \beta \cup \mathcal{R}_1 \text{ CR}$ (subsumed by Van Oostrom)

with algebraic higher-order rewriting:

- 1991: Jouannaud and Okada: $\mathcal{R}_1 \text{ SN}$ and non-duplicating and $\mathcal{R}_{\omega}^{\rightarrow}$ structurally decreasing $\Rightarrow \lambda^{\rightarrow} \cup \mathcal{R} \text{ SN}$
- 1999: Jouannaud and Rubio: \mathcal{R} in λ^{\rightarrow} -HORPO $\Rightarrow \lambda^{\rightarrow} \cup \mathcal{R} \text{ SN}$

About non-duplication

- 1988: Toyama: \mathcal{R}_1 and \mathcal{R}_2 SN $\not\Rightarrow$ $\mathcal{R}_1 \uplus \mathcal{R}_2$ SN
- 1988: Rusinowitch: \mathcal{R}_1 and \mathcal{R}_2 SN and non-duplicating \Rightarrow $\mathcal{R}_1 \uplus \mathcal{R}_2$ SN
- 1992: Gramlich: \mathcal{R}_1 and \mathcal{R}_2 SN and $\mathcal{R}_1 \uplus \mathcal{R}_2$ not SN \Rightarrow \mathcal{R}_1 non-duplicating and \mathcal{R}_2 collapsing (RHS = variable) (or vice-versa)
- 1995: Melliès: $\lambda\sigma$ is not SN (several duplicating and collapsing rules)
- 1995: Kurihara and Ohuchi: \mathcal{R}_1 and \mathcal{R}_2 SN \Rightarrow $\mathcal{R}_1 \uplus \mathcal{R}_2$ WN with sharing

$\beta \notin \mathcal{R}$ when typing depends on rewriting

(with algebraic higher-order rewriting)

$$\text{(conv)} \quad \frac{\Gamma \vdash t : T \quad T \downarrow_{\beta\mathcal{R}} T'}{\Gamma \vdash t : T'}$$

- 1993: Barbanera, Fernández and Geuvers: \mathcal{R}_1 SN and object-level $\mathcal{R}_{\omega}^{\rightarrow}$ structurally decreasing $\Rightarrow \text{CC} \cup \mathcal{R}$ SN
- 2000: Walukiewicz: \mathcal{R} in CC-HORPO $\Rightarrow \text{CIC} \cup \mathcal{R}$ SN

remark: all previous works only consider object-level rewrite rules

with type-level rewrite rules:

- 2001: Blanqui: \mathcal{R}_1 SN and non-duplicating, \mathcal{R}_ω structurally decreasing, no type-level critical pair and $\beta \cup \mathcal{R} \text{ CR} \Rightarrow \text{CC} \cup \mathcal{R} \text{ SN}$
- 2003: Blanqui: idem with rewriting modulo object-level AC-like theories
- 2004: Blanqui: idem with \mathcal{R}_ω size decreasing (*e.g.* $f(sx)y \rightarrow s(f(-xy)y)$)

mark: see the prototype of Coq+rewriting on the Coq CVS server !

(tag recriture)

Open problems

- having type-level critical pairs ?
- \mathcal{R} locally CR, \mathcal{R}_1 SN (and non-dup), \mathcal{R}_ω size decreasing
 $\Rightarrow CC \cup \mathcal{R}$ SN (with sharing) and CR ?
- having conditional rewriting ?
- efficient procedure for testing $\downarrow_{\beta\mathcal{R}}$?
- extending modularity results for first-order systems to higher-order systems ?

Application/link to ρ -calculus

- ρ -calculus = HORS with particular substitution calculus \mathcal{SC} ?
- ρ -calculus with higher-order pattern matching *à la* Klop/Miller ?
- application of the positivity-based SN technique to ρCC ?